

A faint, light gray sketch of a large, ornate building with a dome and classical architectural features, serving as a background for the slide.

Cryptography 4:

Asymmetric Cryptography

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Information Security – WT 2019/20



You Are Here

Crypto 1



Introduction to InfoSec & Crypto

- Terminology
- Security notions
- Keys, Kerckhoffs' principle

Crypto 2



Symmetric Authentication

- ➔ Integrity
 - Hash functions
 - MACs (Message Authentication)

Crypto 3



Symmetric Encryption

- ➔ Confidentiality
 - AEAD (Auth. Encryption)
 - Symmetric primitives

Crypto 4



Asymmetric Cryptography

- ➔ Establishing communication
 - Key exchange
 - Signatures
 - Asymmetric primitives



Recap of Last Week (1): Schemes for Encryption

Encryption schemes transform a plaintext **Message** M of arbitrary length to a **Ciphertext** C of about the same length based on a **Key** K of fixed length.

Schemes may accept additional inputs or produce an authentication **Tag** T .

Encryption $\mathcal{E}_{K_{AB}}$



Symmetric Key K_{AB}

Confidentiality only

A, B can encrypt

A, B can decrypt

Auth. Enc. $\mathcal{AE}_{K_{AB}}$



Symmetric Key K_{AB}

Confid. + Authenticity

A, B can encrypt + auth

A, B can decrypt + verify

Key Encapsulation



Asymmetric Keys

Confidentiality

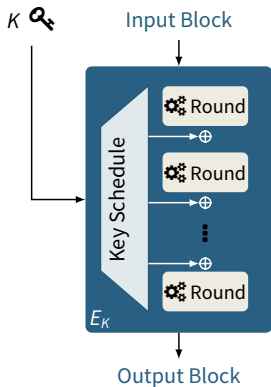
Anyone can encrypt

A can decrypt

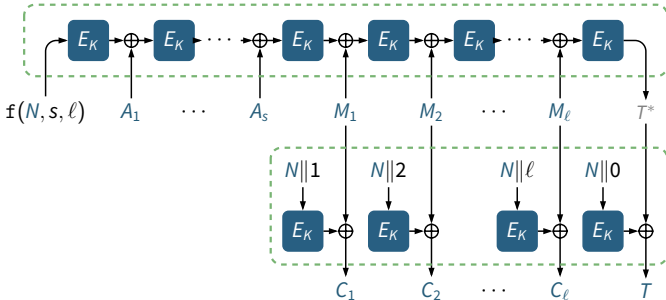


Recap of Last Week (2): Layers of the Symmetric Crypto Stack

Primitive (e.g., AES)



Mode of Operation (e.g., AES-CCM)



Outline



Background

- Motivation, Goals, Applications
- Recap: Modular Arithmetic and Hard Problems



Key Exchange

- Diffie–Hellman Key Exchange



Asymmetric Encryption

- Trapdoor One-way Functions
- RSA Public-Key Encryption



Signatures

- RSA Signatures



Discussion

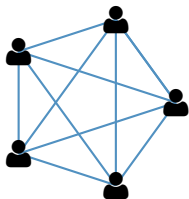
- Today's and Tomorrow's Schemes: ECC, PQC, ...

Background



Introduction

Limitations of Symmetric Cryptography



Key Distribution

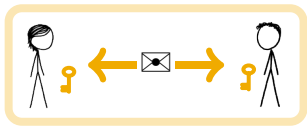
- System with n users needs $\binom{n}{2} = \frac{n \cdot (n-1)}{2}$ key-pairs
- Adding new users is expensive and complicated
- How would this work for securing the internet?!

Symmetric Trust Relationships

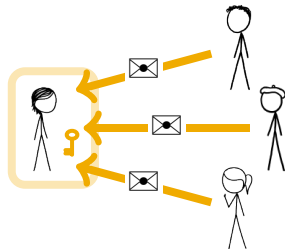
- Assumes that users trust each other equally
- Does not support establishing new connections
- Does not support properties like non-repudiation

Encryption

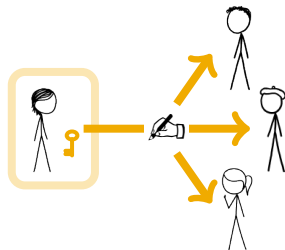
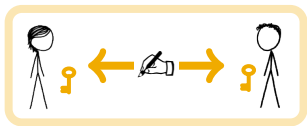
Symmetric



Asymmetric



Authentication




Asymmetric Crypto Schemes


Key Exchange



Two Keypairs K_A, K_B

A and B communicate to agree on a new symmetric key

 A, B can influence key


 A, B can derive key


Encryption



Asymmetric Keypair K_A

A receives **confidential** messages (usually an “encapsulated” key)

 Anyone can encrypt


 A can decrypt


Signature



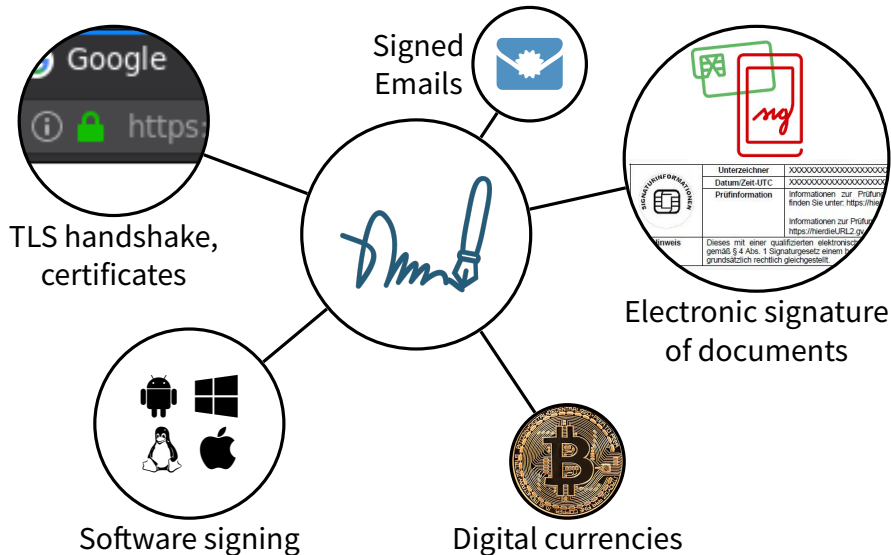
Asymmetric Keypair K_A

A creates a signature to **authenticate** messages

 A can authenticate

 Everyone can verify


Applications of Digital Signatures



Applications of Key Exchange and Asymmetric Encryption

Key Exchange is used to **agree on a session key** to be used for a symmetrically protected communication channel

 Secure Communication via TLS


 IPsec for protecting VPNs

>_ SSH Secure Shell

■ ...

Asymmetric Encryption is mostly used to **send a session key** for a symmetrically protected message (“key encapsulation”)

>_ SSH Secure Shell

 Email encryption with PGP or S/MIME

■ ...

Recap: Modular Arithmetic and the Set \mathbb{Z}_n

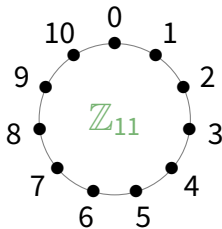
We arrange integers in **classes** by their **remainder after division** by the **modulus n** (aka “modulo n ”, “reduce by n ”)

$\mathbb{Z}_n = \{0, \dots, n-1\}$ is the set of all classes modulo n .

Integers a, b in the same class are “**congruent mod n** ”: “ $a \equiv b \pmod{n}$ ”.

Example: mod 11

Class $\in \mathbb{Z}_{11}$	Integers $\subseteq \mathbb{Z}$
0	$\{\dots, -11, 0, 11, 22, \dots\}$
1	$\{\dots, -10, 1, 12, 23, \dots\}$
2	$\{\dots, -9, 2, 13, 24, \dots\}$
\vdots	\vdots
10	$\{\dots, -1, 10, 21, 32, \dots\}$



Recap: The Additive Group $(\mathbb{Z}_n, +)$

The set \mathbb{Z}_n with the operation $+$ (addition modulo n) is a group that satisfies:

- 1 **Associativity:** $\forall a, b, c \in \mathbb{Z}_n : a + (b + c) = (a + b) + c$
- 2 **Commutativity:** $\forall a, b \in \mathbb{Z}_n : a + b = b + a$
- 3 **Neutral element 0:** $\forall a \in \mathbb{Z}_n : a + 0 = a = 0 + a$
- 4 **Inverse element $-a$ for every element $a \in \mathbb{Z}_n$:** $a + (-a) = 0$

Example $(\mathbb{Z}_{11}, +)$:

+	0	1	2	3	4	5	6	7	8	9	10
0	0	1	2	3	4	5	6	7	8	9	10
1	1	2	3	4	5	6	7	8	9	10	0
2	2	3	4	5	6	7	8	9	10	0	1
3	3	4	5	6	7	8	9	10	0	1	2
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots
10	10	0	1	2	3	4	5	6	7	8	9

Recap: The Multiplicative Group (\mathbb{Z}_n^*, \cdot)

The set \mathbb{Z}_n with the operation \cdot (multiplication modulo n) is **not** a group:
For example, 0 has no multiplicative inverse b such that $b \cdot 0 \equiv 1$.

But the set $\mathbb{Z}_n^* := \{a \in \mathbb{Z}_n \mid \exists b \in \mathbb{Z}_n : b \cdot a = 1\}$ of invertible elements is a group.

Example $(\mathbb{Z}_{11}^*, \cdot)$:

\cdot	1	2	3	4	5	6	7	8	9	10
1	1	2	3	4	5	6	7	8	9	10
2	2	4	6	8	10	1	3	5	7	9
3	3	6	9	1	4	7	10	2	5	8
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots
10	10	9	8	7	6	5	4	3	2	1

Recap: Invertible Elements modulo n and Euler phi-Function

- **Definition:** Integers a, b are **co-prime** if they have no common prime factor.
- **Theorem:** Element a has a multiplicative inverse mod n if a, n are co-prime. This inverse can be found with the **Extended Euclidean Algorithm**.
- **Definition:** The **Euler phi-function** $\varphi(n)$ is the number of integers in the range $1, \dots, n - 1$ which are co-prime to the integer n .
 - p prime: $\varphi(p) = p - 1$
 - $n = p \cdot q$ with p, q prime: $\varphi(n) = \varphi(p \cdot q) = (p - 1) \cdot (q - 1)$

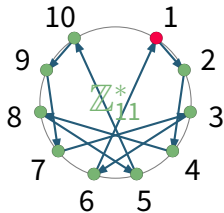
Example: $\varphi(15) = (3 - 1) \cdot (5 - 1) = 8$: numbers $\{1, 2, 4, 7, 8, 11, 13, 14\}$

Recap: Generators and Euler's Theorem

- \mathbb{Z}_n^* contains exactly the $\varphi(n)$ elements in $1, \dots, n-1$ that are co-prime to n .
- **Euler's Theorem:** For all integers a and n that are co-prime: $a^{\varphi(n)} \equiv 1 \pmod{n}$
- **Definition:** If $\varphi(n)$ is the smallest integer $t > 1$ such that $a^t \equiv 1 \pmod{n}$, then a is called a **generator** of \mathbb{Z}_n^* .

Example: $a = 2$ is a generator of \mathbb{Z}_{11}^* , where $\varphi(11) = 10$:

- | | | |
|-------------------------|----------------------------|-------------------------------|
| ■ $2^1 = 2$ | ■ $2^4 = 16 \equiv 5$ | ■ $2^7 \equiv 18 \equiv 7$ |
| ■ $2^2 = 2 \cdot 2 = 4$ | ■ $2^5 \equiv 10$ | ■ $2^8 \equiv 14 \equiv 3$ |
| ■ $2^3 = 2 \cdot 4 = 8$ | ■ $2^6 \equiv 20 \equiv 9$ | ■ $2^9 \equiv 6$ |
| | | ■ $2^{10} \equiv 12 \equiv 1$ |



The Discrete Logarithm Problem (DLP)

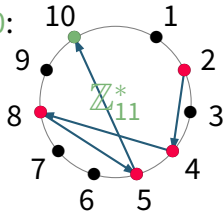
Discrete Logarithm Problem

Given a prime number p , a generator $g \in \mathbb{Z}_p^*$, and an element $y \in \mathbb{Z}_p^*$, find the integer $x \in \{0, \dots, p-2\}$ such that $\underbrace{g \cdot g \cdots g}_{x \text{ times}} = g^x \equiv y \pmod{p}$.

The DLP is believed to be hard in the group (\mathbb{Z}_p^*, \cdot) for large primes p .

Example: Prime modulus $p = 11$, generator $g = 2$, and $y = 10$:

- $2^1 = 2$ ✗
- $2^2 = 4$ ✗
- $2^3 = 8$ ✗
- $2^4 = 16 \equiv 5 \pmod{11}$ ✗
- $2^5 = 32 \equiv 10 \pmod{11}$ ✓



The Integer Factorization Problem (IFP)

Integer Factorization Problem

Given $n \in \mathbb{N}$, find primes p_i and exponents $e_i \in \mathbb{N}$ such that $n = p_1^{e_1} \cdot p_2^{e_2} \cdot \dots \cdot p_k^{e_k}$

The IFP is believed to be hard if n is the product of two large primes: $n = p \cdot q$

Example: $n = 143 \Rightarrow n = p \cdot q = 11 \cdot 13$

Key Exchange



Establishing Secure Communication

Diffie-Hellman (DH) Key Exchange

- 💡 In 1976, Diffie and Hellman proposed the first asymmetric cryptosystem.
- 🔑 DH and its relatives are the most relevant key-exchange algorithms in today's protocols. They allow Alice and Bob to derive a new shared secret key.
- 🏆 Turing Award 2015
Sometimes called Diffie-Hellman-Merkle (Merkle invented asymmetric crypto)



Whitfield Diffie



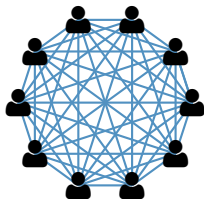
Martin Hellman



Ralph Merkle

Diffie-Hellman (DH) Key Exchange – Goal

- Addresses the key distribution problem



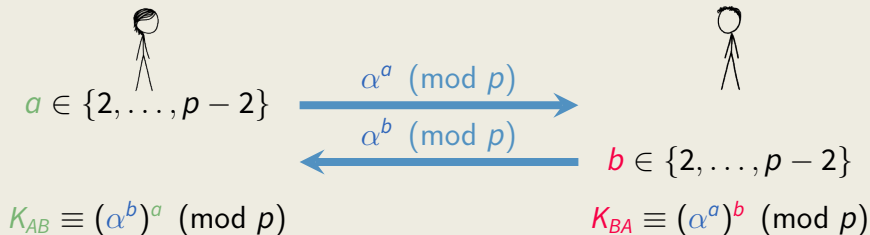
- If Alice and Bob want to start communicating, they exchange a few messages to generate a shared secret key K to use for AEAD:
 - 👤 Authentication ➡ Asymmetric crypto
 - 🔑 Key agreement ➡ Asymmetric crypto
 - ✉ Actual communication ➡ Symmetric crypto

Diffie-Hellman (DH) Key Exchange – Definition

Diffie-Hellman Key Exchange



Choose a large prime p and a generator α of \mathbb{Z}_p^* (public system parameters).



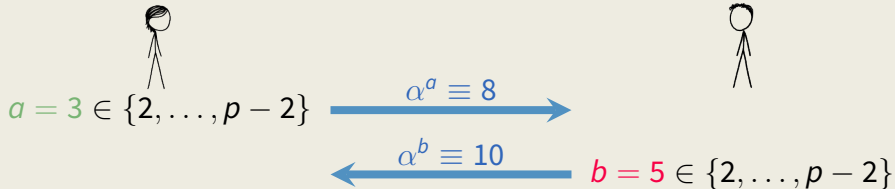
- Correctness: $K_{AB} \equiv (\alpha^b)^a \equiv (\alpha)^{b \cdot a} = (\alpha)^{a \cdot b} \equiv (\alpha^a)^b \equiv K_{BA}$, so both Alice and Bob derive the same key $K \equiv K_{AB} \equiv K_{BA}$
- We call a Alice's private key and α^a her public key (same for Bob's b and α^b)

Diffie-Hellman (DH) Key Exchange – Example

Diffie-Hellman Key Exchange



Choose a large prime $p = 11$ and a generator $\alpha = 2$ of \mathbb{Z}_p^* (public parameters).



$$K_{AB} = (\alpha^b)^a \equiv 10^3 = 1000 \equiv 10$$

$$K_{BA} = (\alpha^a)^b \equiv 8^5 = 32768 \equiv 10$$

Diffie–Hellman (DH) Key Exchange – Security

Alice and Bob have no previous shared secrets. Eve knows all exchanged info:

- Parameters p and α
- Alice's public key $\alpha^a \pmod{p}$
- Bob's public key $\alpha^b \pmod{p}$

Eve would like to know the secret $K_{AB} \equiv (\alpha^a)^b \equiv (\alpha^b)^a \equiv \alpha^{a \cdot b}$.

This looks easy, but is generally believed to be a hard problem for large p .

Diffie–Hellman Problem (DHP)

Given generator $\alpha \in \mathbb{Z}_p^*$ and $\alpha^a \pmod{p}$, $\alpha^b \pmod{p}$, find $K_{AB} = \alpha^{a \cdot b}$.

Best known solution to DHP: find a from α^a , or b from α^b (= solve DLP in \mathbb{Z}_p^*).

Recommended key size: For 128-bit security, p should be about 3072 bits long.

Diffie–Hellman (DH) Key Exchange – Remarks

- The prime p and generator $\alpha \in \mathbb{Z}_p^*$ are public system parameters that can be the same for all users.
- Standards (NIST, ISO, ...) define parameters p, α for different security levels, how to encode values, how to use the resulting key K by hashing it to a suitable size, ...
- Modern protocols use **ephemeral Diffie–Hellman** (DHE) with temporary keypairs for forward secrecy.

Asymmetric Encryption



Confidentiality

Trapdoor One-way Functions

Asymmetric cryptography makes extensive use of “**one-way functions**”:

easy to compute, **hard** to invert.

A “**trapdoor one-way function**” is a one-way function which can be inverted with an additional piece of information, the trapdoor information.



easy to lock



hard to open



unless you have the key

Asymmetric Encryption with Trapdoor One-Way Functions

- Receiver Alice creates and distributes a **trapdoor one-way function** F
- Sender Bob encrypts messages M by applying F (the **public key**):

$$C = F(M)$$



- Receiver Alice applies F^{-1} (the **private key**):

$$F^{-1}(C) = F^{-1}(F(M)) = M$$

- For Eve, it should be **computationally infeasible** to recover F^{-1} from F (or get M from C). However, everyone can compute a ciphertext C for any plaintext M .

Asymmetric Encryption – Algorithms and Keys

🔑 Key Generation

Alice generates a **private key** 🔑 and corresponding **public key** ☁️. She distributes ☁️ publicly and keeps 🔑 safe.



🔒 Encrypt

With the **public key** ☁️, Bob (or anyone) encrypts a message M 📄 to a ciphertext C ✉️ using $C = \mathcal{E}_{\text{☁️}}(M)$ and sends C to Alice.



🔓 Decrypt

With her **private key** 🔑, Alice decrypts the ciphertext C ✉️ to recover the message M 📄 using $\mathcal{D}_{\text{🔑}}(C) = M$



RSA (Rivest–Shamir–Adleman) Public-Key Encryption

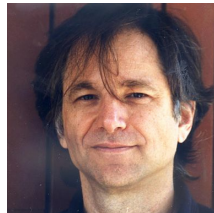
- 💡 In 1977, Rivest, Shamir, and Adleman proposed one of the first public-key encryption schemes.
- 🔑 RSA encryption as well as the related signature scheme are widely used.
- 🏆 Turing Award 2002



Ron Rivest



Adi Shamir



Leonard Adleman

RSA Encryption (Rivest–Shamir–Adleman 1977)

🔑 Key Generation

- Choose 2 large, random primes p, q
- Compute modulus $n = p \cdot q$
- Choose public exponent e co-prime to $\varphi(n)$
- Compute private exponent $d \equiv e^{-1} \pmod{\varphi(n)}$

🔑 public key = (e, n)

🔑 private key = (d, n)

Euler function:

$$\varphi(pq) = (p-1)(q-1)$$

Euler theorem:

if a, n are coprime, then

$$a^{\varphi(n)} \equiv 1 \pmod{n}$$

🔒 Encrypt $\mathcal{E}(M)$

Encrypt message M :

$$C \equiv M^e \pmod{n}$$

🔓 Decrypt $\mathcal{D}(C)$

Decrypt ciphertext C :

$$M \equiv C^d \pmod{n} \equiv M^{e \cdot d} \equiv M^{1+k\varphi(n)} \equiv M$$

RSA Encryption – Example

🔑 Key Generation

- Choose 2 tiny, random primes $p = 3, q = 11$
- Compute modulus $n = p \cdot q = 33$
- Choose public exponent $e = 3$ co-prime to $\varphi(n) = (p - 1)(q - 1) = 2 \cdot 10 = 20$
- Compute private exponent $d \equiv e^{-1} \pmod{\varphi(n)} \equiv 7 \pmod{20}$
since $d \cdot e = 3 \cdot 7 = 21 = 20 + 1 \equiv 1 \pmod{20}$

Euler function:

$$\varphi(pq) = (p - 1)(q - 1)$$

Euler theorem:

if a, n are coprime, then
 $a^{\varphi(n)} \equiv 1 \pmod{n}$

🔒 Encrypt $\mathcal{E}(M = 4)$

$$\begin{aligned} C &\equiv M^e \pmod{n} \\ &= 4^3 \equiv 31 \pmod{33} \end{aligned}$$

🔓 Decrypt $\mathcal{D}(C = 31)$

$$\begin{aligned} M &\equiv C^d \pmod{n} \equiv M^{e \cdot d} \equiv M^{1+k\varphi(n)} \equiv M \\ &= 31^7 \equiv 4 \pmod{33} \end{aligned}$$

RSA Encryption – Security

RSA Problem (RSAP)

Given modulus n , exponent e , ciphertext C : find M such that $M^e \equiv C \pmod{n}$.

- If we can solve factorization (IFP), we can recover p, q from n and break RSA
- The RSAP is believed to be as hard as the IFP and infeasible for large n .
- The modulus n must be large enough so that the runtime of the best factoring algorithms is not feasible for any attacker.

Factoring record 2009: 768-bit modulus (\approx 2000 CPU years)

- “Security level of k bits” = we estimate that factoring n takes more than 2^k time

Recommended key size: For 128-bit security, p should be about 3072 bits long.

RSA Encryption – Semantic Security

- There is a huge problem with this “textbook RSA”: It is **deterministic**.
- If the message has low entropy (e.g., $M \in \{\text{yes, no, maybe}\}$), the attacker can intercept C , **guess M and verify if $C = \text{RSA}(M)$** !
- We need a **padding scheme** to make RSA “**semantically secure**”:

Indistinguishability (under Adaptive Chosen-Ciphertext Attack)

An attacker who knows the public key, chooses 2 messages M_0, M_1 , and gets ciphertext C **can not distinguish** $C = E(M_0)$ or $C = E(M_1)$, even if they can ask for decryption of any $C \neq C^*$.

RSA Encryption – Padding for Semantic Security

PKCS #1 (Public-Key Cryptography Standard) defines 2 **RSA Encryption Schemes (RSAES)**:

- **RSAES-PKCS1-v1_5** (⚠ deprecated):

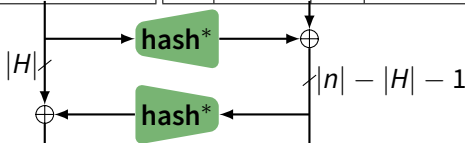
$$C = \mathbf{RSA} \left(\begin{array}{|c|c|c|c|} \hline 00 & 02 & \geq 8 \text{ random bytes} & 00 \\ \hline \end{array} \parallel \text{message } M \right)$$

- **RSAES-OAEP** (“optimal asymmetric encryption padding”):

1. Compute $H = \text{hash}(\text{label } L)$. Generate random seed ($|H|$ bytes).

2. Compute

random seed	H	$0 \dots 0$	01	message M
-------------	-----	-------------	----	-------------



3. $C = \mathbf{RSA} \left(\begin{array}{|c|c|c|} \hline 00 & \text{masked seed} & \text{masked data} \\ \hline \end{array} \right)$

Signatures



Authenticity

Signatures – Algorithms in a Signature Scheme

🔑 Key Generation

Alice generates a **private key** 🔑 and corresponding **public key** ☁️. She distributes ☁️ publicly and keeps 🔑 safe.



✍️ Sign

With her **private key** 🔑, Alice computes the signature $S_{\text{t}}(M) = S \odot$ of a message M 📄. She transmits 📄, \odot to the recipient(s).



✅ Verify

With the **public key** ☁️, Bob (or anyone) can verify the signature:
 $\mathcal{V}_{\text{t}}(M, T) \in \{\checkmark, \times\}$



Signatures – Definition and Application

Signatures: private key K  and public key P 



Digital signatures ensure

- Sender authentication
- Message integrity
- Non-repudiation



Signatures – Security

- It must be **easy to compute** S using the **private key** 🔑
- It must be **easy to verify** S using the **public key** 🔑
- It must be **hard to compute** S without the private key (**forgery**)
even if the attacker chooses the message and knows previous signatures

This is achieved using complexity-theoretically **hard problems** such as

- **IFP**: Integer factorization problem
- **DLP**: Discrete logarithm problem

RSA Signatures (Rivest-Shamir-Adleman 1977)

🔑 Key Generation

- Choose 2 large, random primes p, q
- Compute modulus $n = p \cdot q$
- Choose public exponent e co-prime to $\varphi(n)$
- Compute private exponent $d \equiv e^{-1} \pmod{\varphi(n)}$

🔑 public key = (e, n)

🔑 private key = (d, n)

Euler function:

$$\varphi(pq) = (p-1)(q-1)$$

Euler theorem:

if a, n are coprime, then

$$a^{\varphi(n)} \equiv 1 \pmod{n}$$

✍️ Sign $\mathcal{S}(M)$

Compute signature S :

$$S \equiv M^d \pmod{n}$$

✅ Verify $\mathcal{V}(M, S)$

Verify that

$$M \stackrel{?}{\equiv} S^e \pmod{n} \equiv M^{d \cdot e} \equiv M^{1+k\varphi(n)} \equiv M$$

RSA Signatures – Security



The message M is **recoverable** from the signature S as $M \equiv S^e$
→ An attacker can easily generate valid pairs (M, S) !



Solution: **Sign the hash** of the message (“signature with appendix”)

PKCS #1 defines 2 **RSA Signature Schemes with Appendix (RSASSA)**:

- **RSASSA-PKCS1-v1_5** (legacy):

1. Compute **hash**(M)

2. $S = \text{RSA-Sign} \left(\begin{array}{|c|c|c|c|} \hline 00 & 01 & FF \cdots F & 00 \\ \hline \end{array} \parallel \text{hash}(M) \right)$

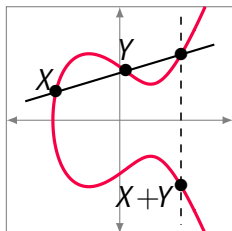
- **RSASSA-PSS** (provably secure “**probabilistic signature scheme**”)

Discussion



ECC = Elliptic Curve Cryptography

- \mathbb{Z}_p^* is not the only useful group for the Discrete Logarithm Problem.
- An attractive alternative is the Elliptic Curve group, where each element is not an integer but a 2-dimensional point with two integer coordinates. The group operation is addition with special point addition formulas.



EC Discrete Logarithm Problem (ECDLP)

Given points P, Q on an elliptic curve with

$$Q = k \cdot P = \underbrace{P + P + \dots + P}_{k \text{ times}}$$

Find k .

Today's and Tomorrow's Public-Key Schemes – Security

(EC)DSA is a signature based on the (Elliptic-Curve) Discrete Logarithm Problem.

Estimated security levels for different modulus bitsizes (NIST SP 800-57):

Security level	RSA	DH, DSA	ECDH, ECDSA
80 bits	1024	1024	160
112 bits	2048	2048	224
128 bits	3072	3072	256
192 bits	7680	7680	384
256 bits	15360	15360	512

- ❗ A fast quantum computer could solve these hard problems much faster
- ➡ Ongoing research in efficient post-quantum secure signature schemes

Practical considerations: What you should be aware of





1 Signatures do not protect confidentiality.

- ➡ If necessary, combine with encryption

2 Signatures are only as authentic as the public keys.

- ❗ A secure public-key infrastructure (PKI) is essential
- ➡ Certification Authority (CA) or Web-of-Trust

3 Signatures just sign a bitstring, not its “meaning” or context.







- ❗ Metadata like time (old code “updates”), program version ( \neq ) ,
file format (polyglots  = ) , ...

...

Conclusion



Conclusion

- Establishing a secure communication channel
 -  Authentication ➡ Asymmetric crypto
 -  Key agreement ➡ Asymmetric crypto
 -  Actual communication ➡ Symmetric crypto
- Important asymmetric schemes (key sizes: 3072+ bits)
 -  Diffie–Hellman (DH) key exchange
 -  RSA encryption
 -  RSA signatures

Questions

