

SLAM Abstraction

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Hoare Logic (A Few Things We'll Use)

Hoare triple

$$\{P\} S \{Q\}$$

P: precondition

Q: postcondition

S: program

Meaning: if P holds before execution and S finishes, then Q holds afterwards.

Note: we prove **partial correctness**. If S runs forever, $\{P\}S\{Q\}$ holds.

Example:

$$\{x \geq 0\} y := \text{sqrt}(x) \quad \{y * y = x\}$$
$$x := x + 1 \quad \{x = 2\}$$
$$\{x > 9\} x := x + 1$$
$$x := x + 1 \quad \{x > 10\}$$

In the following, all variables are integer.

Hoare Logic

Hoare triple

$$\{P\} S \{Q\},$$

P: precondition

S: program

Q: postcondition

Meaning: if P holds before execution and S finishes, then Q holds afterwards.

Note: we prove **partial correctness**. If S runs forever, $\{P\}S\{Q\}$ holds.

Examples:

1. $\{x \geq 0\}$ $y := \text{sqrt}(x)$ $\{y * y = x\}$
2. $\{\text{TRUE}\}$ $\text{while}(1) \text{ do skip od}$ $\{x + y = 42\}$
3. $\{z = 1\}$ $z := y + 1$ $\{y = 2\}$
4. $\{z > 9\}$ $z := y + 1$ $\{y > 10\}$
5. $\{z > 100\}$ $z := y + 1$ $\{y > 10\}$

Example 1 and 2: **weakest** precondition (all circumstances under which the program fulfills postcondition)

In the following, all variables are integer.

Hoare Logic: Rules

Axioms to find the weakest precondition

- Assignment: $x := e$
- Consecution: $S1; S2$
- if-statement: $\text{if } b \text{ then } S1 \text{ else } S2$
- Loops: $\text{while } b \text{ do } S \text{ od}$
- Plus
 - extra “glue” rules to make things work
 - Function calls, mallocs, pointers, etc

Axiom of Assignment

Example:

$x := y \{x = 4\}$

$z := y + 1 \{y = 4\}$

$y := 2 * x \{x = 8\}$

$y := 2 * x \{x < 8\}$

This rule gives the *weakest precondition*, i.e., $\{P[x \rightarrow e]\}$ holds before S **if and only if** P holds afterwards

Axiom of Assignment

$$\frac{}{\{P[x \rightarrow e]\} x := e \{P\}}$$

$P[x \rightarrow e]$ means that x is replaced by e in P

Example:

$$\{y = 4\} x := y \{x = 4\}$$

$$\{x+1 = 4\} x := x + 1 \{x = 4\}$$

$$\{x = 4\} x := 2 * x \{x = 8\}$$

$$\{x < 4\} x := 2 * x \{x < 8\}$$

This rule gives the *weakest precondition*, i.e., $\{P[x \rightarrow e]\}$ holds before S **if and only if** P holds afterwards

Axiom of Skip

$\{P\} \text{ skip } \{P\}$

(skip is an abbreviation for $x:=x$)

Sequencing Rule (Consecution)

Example:

(1) $\{x = 3\}$ $x := x + 1$ $\{x = 4\}$ (ass.)

(2) $\{x = 4\}$ $x := x * 2$ $\{x = 8\}$ (ass.)

(3) $x := x + 1; x := x * 2$

horizontal line: *if everything above the line is true, then everything below the line is true.*

Sequencing Rule (Consecution)

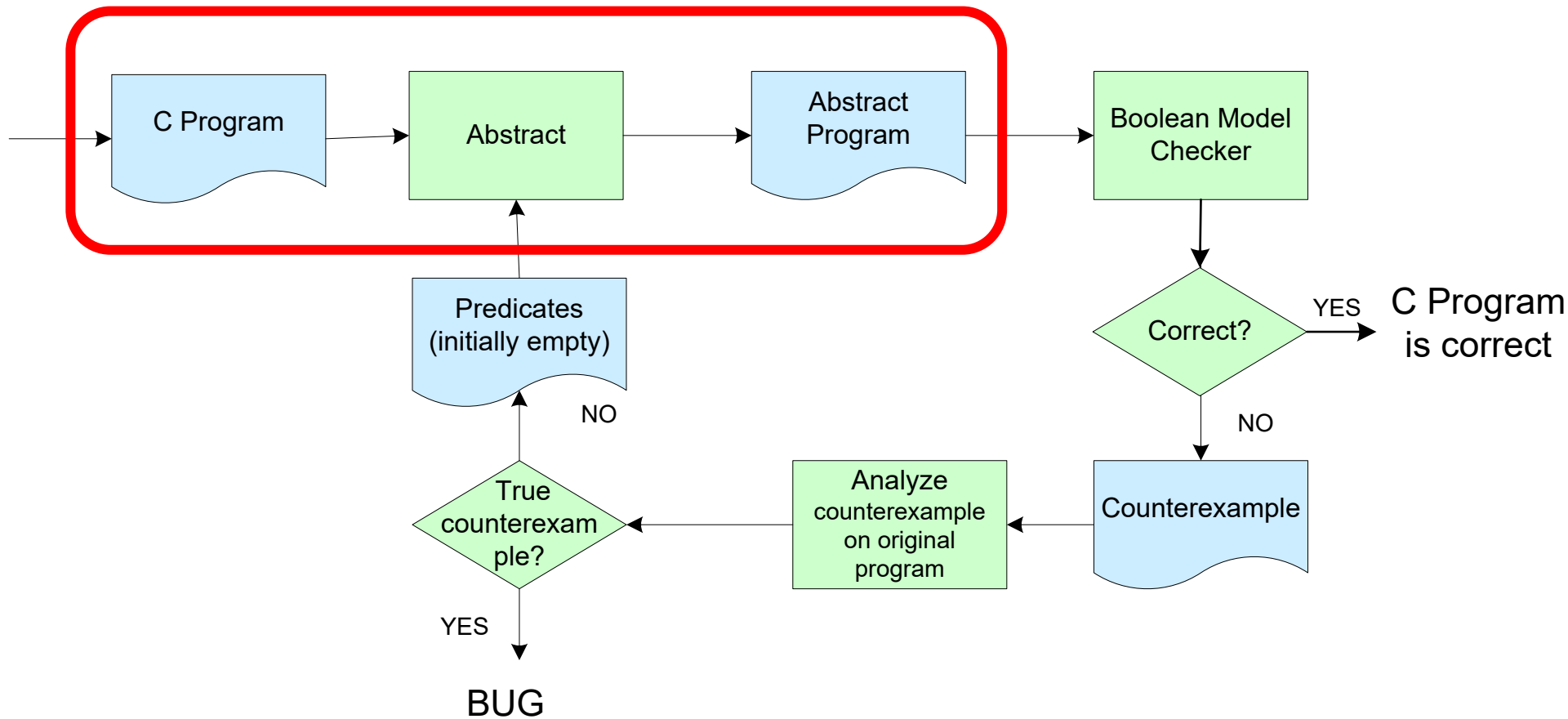
$$\frac{\{P\} S1 \{Q\} \quad \{Q\} S2 \{R\}}{\{P\} S1; S2 \{R\}}$$

Example:

- (1) $\{x+1 = 4\} \quad x := x + 1 \quad \{x = 4\} \quad (\text{ass.})$
- (2) $\{x = 4\} \quad x := x * 2 \quad \{x = 8\} \quad (\text{ass.})$
- (3) $\{x = 3\} \quad x := x + 1; x := x * 2 \quad \{x = 8\} \quad (\text{consecution, 1,2})$

horizontal line: if everything above the line is true, then everything below the line is true.

The Approach



Abstraction

- Represent complex program by simple program
 - original program is **concrete**, simple one is **abstract**
- Construction: if abstraction correct, then original correct
 - But: abstract program may fail even if the original is correct
 - We will look at *refinement* later
- Whenever we can not make a decision with certainty, we allow all possibilities

Predicate Abstraction

- Replace variables by predicates. E.g., instead of x have the predicates
 - b , meaning $\{x > 0\}$,
 - c : $\{x < 0\}$,
 - d : $\{x == 0\}$
- or replace x and y by
 - e : $\{x == y\}$, or by
 - f : $\{x < y\}$, or by
 - g : $\{2x - y < 0\}$,

Predicate Abstraction

Example

b: {x is odd}

- `assert(x!=38)` becomes `assert(b)`
- `assert(b)` is **stricter**:
 - if `assert(x!=38)` fails then `assert(b)` also fails
 - But not vice-versa

What about

- If-then-else?
- Assignments?

Construct abstract programs **one statement at a time**

- Abstracting statement does not require full program analysis

Abstraction of Conditional

* : nondeterministic value

Original Program

```
if (x == 5) then
  S1
else
  S2
fi
```

Abstract Program $b = \{x \text{ odd}\}$

Abstraction of Conditional

* : nondeterministic value

b	
{x odd}	{x = 5}
T	
F	

Original Program

```

if (x == 5) then
  S1
else
  S2
fi

```

Abstract Program $b = \{x \text{ odd}\}$

Abstraction of Conditional

We use $*$ to denote a nondeterministic value

b	
{x odd}	{x = 5}
T	* (e.g., x=3, x=5)
F	F

Original Program

```
if (x == 5) then
  S1
else
  S2
fi
```

Abstract Program ($b = \{x \text{ odd}\}$)

```
if (b?* :F) then
  S1
else
  S2
fi
```

Note:

- $b=\text{false}$ is the same as x even, which implies $x \neq 5$.
- $b=\text{true}$ means that x is odd, which means x may or may not be 5

Abstraction Example

Let's say we have one predicate:

$$b = \{x \leq y\}$$

How do we abstract

$$x := y?$$

$$y := y+1?$$

Computing Abstraction

$$b = \{x \leq y\}$$

Use Hoare's weakest precondition

$$x := y$$

$x := y$ is abstracted to

Computing Abstraction

$b = \{x \leq y\}$

Use Hoare's weakest precondition

$\{y \leq y\}$

$x := y$

$\{x \leq y\}$

Thus, $y \leq y$ before the statement iff $x \leq y$ after

$x := y$ is abstracted to $b = \text{true}$

Computing Abstraction

Now for $y := y + 1$.

$b = \{x \leq y\}$

Thus, $x \leq y + 1$ before iff $x \leq y$ after.

In which cases can we guarantee $x \leq y+1$?

b	b'
$\{x \leq y\}$	$\{x \leq y+1\}$
T	
F	

abstraction:

Computing Abstraction

Now for $y := y + 1$.

$$\{x \leq y + 1\}$$

$$y := y + 1$$

$$\{x \leq y\}$$

Thus, $x \leq y + 1$ before iff $x \leq y$ after.
In which cases can we guarantee $x \leq y+1$?

b	b'
$\{x \leq y\}$	$\{x \leq y+1\}$
T	T
F	* (e.g. $(x,y)=(9,3)$ or $(3,3)$)

Not enough information to decide whether $x \leq y+1$ before, so we approximate.

abstraction: $b = b ? T : *;$

Conservative Abstraction

Let us abstract x by $\mathbf{b} : \{x < 0\}$.

We may lose some information Example:

```
x = -2;  
x = x + 1;  
assert (x < 0);
```

is abstracted statement-by statement-to

The abstraction is *conservative*: bugs are preserved (but new bugs may occur).

Conservative Abstraction

Let us abstract x by $b: \{x < 0\}$.

We may lose some information Example:

```
x = -2;  
x = x + 1;  
assert (x < 0);
```

is abstracted statement-by statement-to

```
b = true;  
b = b ? * : false;  
assert (b);
```

The abstraction is *conservative*: bugs are preserved (but new bugs may occur).

Multiple Predicates

Two predicates: $b = \{x \leq y\}$ and $c = \{x = y + 1\}$

preconditions:

b	c		b'	c'
$x \leq y$	$x = y + 1$		$x \leq y + 1$	$x = y + 2$
T	T			
T	F			
F	T			
F	F			

Multiple Predicates

Two predicates: $b = \{x \leq y\}$ and $c = \{x = y + 1\}$

preconditions:

$\{x \leq y + 1\}$

$y := y + 1$

$\{x \leq y\}$

$\{x = y + 2\}$

$y := y + 1$

$\{x = y + 1\}$

$y := y + 1$ is abstracted to

$(b, c) :=$

$(b \parallel c ? T : F,$

$b \parallel c ? F : *)$

b	c		b'	c'
$x \leq y$	$x = y + 1$		$x \leq y + 1$	$x = y + 2$
T	T	X	T	F
T	F	$a \leq b$	T	F
F	T	$a = b + 1$	T	F
F	F	$a > b + 1$	F	*

In general, simultaneous assignments are needed for abstract statements

Multiple Predicates

- Two predicates: $b = \{x \leq y\}$ and $c = \{x = y + 1\}$
- $x \leq y$ and $x = y + 1$ can never happen, so b and c should not both be true.
- To beginning of abstract program, add `assume (!b || !c)`
 - Invariant then holds by induction throughout program

To beginning of program, add assumption forbidding impossible combinations

Example:

- Predicates: $a = \{x=0\}$, $b = \{x>y\}$, $c = \{y=0\}$
- $a = b = c = 1$ cannot happen
- Possible abstraction of $x := x+1$ in table

Program

abstraction

`x := 0`

`a, b := T, c ? F : *`

`x := x + 1`

see table

`assert(x != 0)`

`assert(!a)`

Execution of abstract program:

`(a, b, c) = (T, T, T)`

`a := T`

`(a, b, c) = (T, T, T)`

see table

`(a, b, c) = (T, T, T)`

`assert(!a)`

fails

a	b	c		a'	b'	c'
x=0	x>y	y=0		x=-1	x-1>y	y=0
F	F	F	$0 \neq x \leq y \neq 0$	*	*	F
F	F	T	$0 \neq x \leq y = 0$	*	*	T
F	T	F	$0 \neq x > y \neq 0$	*	*	F
F	T	T	$x > y = 0$	*	*	T
T	F	F	$0 = x < y$	*	*	F
T	F	T	$x = y = 0$	*	T	T
T	T	F	$0 = x > y$	*	*	F
T	T	T	impossible	T	T	T

To beginning of program, add assumption forbidding impossible combinations

Example:

- Predicates: $a = \{x=0\}$, $b = \{x>y\}$, $c = \{y=0\}$
- $a = b = c = 1$ cannot happen
- Possible abstraction of $x := x+1$ in table

Program

```
x := 0
```

```
x := x + 1
```

```
assert(x != 0)
```

abstraction

```
assume (! (a && b && c))
```

```
a, b := T, c ? F : *
```

```
see table
```

```
assert(!a)
```

Execution of abstract program:

```
(a, b, c) = (T, T, T)
```

```
a := T
```

```
(a, b, c) = (T, T, T)
```

```
see table
```

```
(a, b, c) = (T, T, T)
```

```
assert(!a)
```

```
fails
```

a	b	c		a'	b'	c'
x=0	x>y	y=0		x=-1	x-1>y	y=0
F	F	F	$0 \neq x \leq y \neq 0$	*	*	F
F	F	T	$0 \neq x \leq y = 0$	*	*	T
F	T	F	$0 \neq x > y \neq 0$	*	*	F
F	T	T	$x > y = 0$	*	*	T
T	F	F	$0 = x < y$	*	*	F
T	F	T	$x = y = 0$	*	T	T
T	T	F	$0 = x > y$	*	*	F
T	T	T	impossible	T	T	T

Another Example

```
done = 0;
while (done == 0) {
    if (x != 0)
        x--;
    else
        done++;
}
assert (x == 0);
```

How do you argue that the program is correct?

Which predicates do you need to prove that?

Function Calls

```
int y;
```

```
bool b; // y>0
```

```
f(){  
    h(y);  
}
```

```
f(){  
  
}
```

```
void h(int z){  
    y = z;  
}
```

```
void h(bool c){ // c: z = 0  
  
}
```

Function Calls

```
int y;
```

```
bool b; // y>0
```

```
f(){  
    h(y);  
}
```

```
f(){  
    h(b ? F : *)  
}
```

```
void h(int z){  
    y = z;  
}
```

```
void h(bool c){ // c: z = 0  
    b = c ? F : *;  
}
```

Abstraction

- Tricky: find the proper abstraction!
 - You use the counterexamples, but how?
 - You can do it by hand
 - You can try to do it automatically
- Automatically finding the proper abstraction cannot always work. Why not?

Precisely: assignment

Original: $x := e$
 Predicates p_1, \dots, p_n .

Suppose we have

$\{q_i\}$
 $x := e;$
 $\{p_i\}$

Let a_i be the disjunction of assignments to $p_1 \dots p_n$ that imply q_i .

let b_i be the disjunction of assignments to $p_1 \dots p_n$ that imply $\neg q_i$.

$x := e$ is replaced by

simultaneous

$p_1 = a_1 ? T : b_1 ? F : *$

...

$p_n = a_n ? T : b_n ? F : *$

end simultaneous

example

Assignment: $b := b+1$

Predicates: $p_1 = \{a \leq b\}$ and $p_2 = \{a=b+1\}$

$\{a \leq b + 1\}$	$\{a = b + 2\}$
$b := b + 1$	$b := b + 1$
$\{a \leq b\}$	$\{a = b + 1\}$

Look at the table: row TT, TF, and FT have a T in column $a \leq b$ and TT and FF have an F in that column. Therefore:

$p_1 \vee p_2$ implies $a \leq b + 1$
 $(p_1 \wedge p_2) \vee (\neg p_1 \wedge \neg p_2)$ implies $a > b + 1$
 (note: false implies anything)

For the 2nd predicate:

$p_1 \wedge p_2$ implies $a = b+2$

$p_1 \vee \neg p_2$ implies $a \neq b+2$

$b:=b+1$ is abstracted to

simultaneous

$\{a \leq b\} := p_1 || p_2 ? T : p_1 == p_2 ? F : *$

$\{a = b + 1\} := p_1 \& p_2 ? T : p_1 != p_2 ? F : *$

end

(Cf. same example on an earlier slide)

p1	p2			
$a \leq b$	$a = b + 1$		$a \leq b + 1$	$a = b + 2$
T	T	*	T/F	T/F
T	F	$a \leq b$	T	F
F	T	$a = b + 1$	T	F
F	F	$a > b + 1$	F	*